

AS Citadele banka Estonian branch, registry code 11971924
Narva mnt 63/1, 10152 Tallinn Republic of Estonia

Client's ID

Dear Client! According to the international standards and laws of Estonian Republic, it is necessary for the Bank to obtain information as per questions listed in this questionnaire. The Bank guarantees that the Client's personal data, data of the Client's accounts, deposits and deeds will be confidential in accordance with the legislative requirements. Please FILL IN black or blue ink, in block letters, and mark necessary option, where it is applicable.

Thank you for understanding!

1. Basic information about the Client

1.1. Name, surname

1.2. Personal identity number 1.3. Place of birth (country)

1.4. Address, which is specified in Population register (declared)

(street, house/building name or number, apartment/office No, city, zip code, country)

2. Contact information

2.1. Actual address (if differs from declared address; p.1.4.)

(street, house/building name or number, apartment/office No, city, zip code, country)

2.2. Address for the correspondence:

2.2.1. address of registered residence 2.2.2. actual 2.2.3. other.....

(street, house/building name or number, apartment/office No, city, zip code, country)

2.3. Phone numbers:

2.3.1. home 2.3.2. mobile

2.4. E-mail 2.5. Fax

3. Contact person (provided information will be used if Client could not be reached)

3.1. Type of Bank's service/operation, in reference to which the contact person is to be contacted if Client can not be reached:

3.2. Name, surname

3.3. Date of birth / Personal identity number

3.4. Actual address

(street, house/building name or number, apartment/office No, city, zip code, country)

3.5. Phone numbers:

3.5.1. work 3.5.2. home 3.5.3. mobile

3.6. E-mail 3.7. Fax

4. Occupation/Place of work

4.1. Occupation: 4.1.1. hired worker 4.1.2. entrepreneur 4.1.3. student

4.1.4. self-employed person 4.1.5. house keeper 4.1.6. retired

4.1.7. unemployed 4.1.8. other

4.2. Present place of work:

4.2.1. Company name

4.2.2. Actual address

(street, house/building name or number, apartment/office No, city, zip code, country)

4.2.3. Industry

4.2.4. Position: 4.2.4.1. lawyer 4.2.4.2. risk analyst

4.2.4.3. position for which knowledge of financial instruments is necessary

4.2.4.4. position related to enterprise management and finances

- 4.2.4.5. loan specialist-manager
- 4.2.4.6. other manager
- 4.2.4.7. legal advisor or legal arrangement and company service provider who opens accounts on his/her behalf with financial institutions to perform financial operations on customers' behalf
- 4.2.4.8. external accountant who opens accounts with financial institution on his/her behalf to perform financial operations on customer's behalf
- 4.2.4.9. real estate agent
- 4.2.4.10. other (specify).....

4.2.5. Since when the position has been occupied months years

4.2.6. Phone 4.2.7. E-mail

5. Information about the Client's tax residency

A tax resident means an individual whose income is subject to taxation under the laws and regulations of relevant country, e.g., based on the place of permanent residence, duration of the stay (usually 183 days and more in the period of 12 months), close personal or economic relations or other criteria serving as the basis for the taxation of income in the relevant country (e.g. in the US, inter alia a US citizen/resident who has been assigned a residence permit (Green Card), shall be deemed a US tax resident).

- 5.1. Are you a tax resident of the Republic of Estonia? **Yes** **No**
- 5.2. Are you a citizen/tax resident of the United States (U.S.)? **Yes** **No**
If 'Yes', please submit Form W-9
- 5.3. Are you a tax resident of a country other than the Republic of Estonia and/or the U.S.? **Yes** **No**
(Please also specify if you are a tax resident of the Republic of Estonian and/or the US)

If you answer 'Yes' in Item 5.3, please specify the country (countries) and taxpayer identification number(s)

Country	Taxpayer identification number

6. Income structure (specify):

- 6.1. salary
- 6.2. registered self-employment (specify type of activity).....
- 6.3. from lease of the personal property (specify property type).....
- 6.4. from real estate transactions (specify type of property).....
- 6.5. dividends / interest (specify payer).....
- 6.6. loans (credits) 6.7. inheritance 6.8. gift 6.9. scholarship 6.10. pension
- 6.11. other (specify)

7. Monthly income (EUR)

- 7.1. Client's income:
- 7.1.1. salary (net)
- 7.1.2. other income

8. Services, products of the Bank, which the Customer plans to use (mark):

- 8.1. current account 8.2. savings account 8.3. term deposit 8.4. payment card
- 8.5. loan / leasing 8.6. currency transactions 8.7. transactions with financial instruments
- 8.8. online banking 8.9. other (specify).....

9. Are You, or have You been a politically exposed person ¹, family member of a politically exposed person ², or person closely associated to a politically exposed person ³?

¹ **A politically exposed person** – a natural person, who in the Republic of Estonia or in foreign countries occupies or has been entrusted with prominent public functions: head of State, head of state administrative unit (municipality), head of government, minister, deputy of minister or deputy of minister's deputy, state secretary or other upper level official in government or state administrative unit (municipality), member of parliament or member of other similar legislative bodies, member of governing bodies (board) of a political party, judge of constitutional court, higher court or judge in a different level court (member of court institutions), member of highest revision (audit) institution council or board, member of central bank's council or board, ambassador, charge affaires, chief officer in the armed forces, member of management or supervisory bodies of State – owned enterprises, directors, deputy directors and member of the board or equivalent function of an international organization (director, deputy director), or a person who is in a similar position in this organization.

² **Family member of a politically exposed person** - politically exposed person's spouse or a person similar to spouse status (considered as such only if the law of according state stipulate this status), child, or a child of politically exposed person's spouse or a person similar to spouse status, his spouse or a person similar to spouse status, parent, grandparent, grandchild, brother or sister.

³ **Person closely associated to politically expose person** - natural person who has business or other type of close relationship with politically exposed person, who is a shareholder in the same legal entity as politically exposed person, or who has sole beneficial ownership of a legal arrangement which is known to have been set up for the de facto benefit of a politically exposed person.

- 9.1. **Yes**, please fill in the following information: 9.2. **No**
- 9.1.1. I am politically exposed person
- 9.1.1.1. The country where you are currently/were formerly holding a politically exposed position

9.1.1.2. Occupied position (please tick):

- | | | |
|---|---|--|
| <input type="checkbox"/> Head of State | <input type="checkbox"/> Other high level official in government or state administrative unit (municipality) | <input type="checkbox"/> Ambassador or chargés d'affaires |
| <input type="checkbox"/> Head of state administrative unit (municipality) | <input type="checkbox"/> Member of parliament or member of other similar legislative bodies | <input type="checkbox"/> Member of Central bank's council or board |
| <input type="checkbox"/> Head of government | <input type="checkbox"/> Member of governing bodies (board) of a political party | <input type="checkbox"/> Chief officer in the armed for armed forces |
| <input type="checkbox"/> Minister , deputy of minister or deputy of minister's deputy | <input type="checkbox"/> Judge of constitutional court, higher court or judge in a different level court (member of court institutions) | <input type="checkbox"/> Member of management or supervisory bodies of State –owned enterprises |
| <input type="checkbox"/> State secretary | <input type="checkbox"/> Member of highest revision (audit) institution council or board | <input type="checkbox"/> Director, deputy director and member of the board or equivalent function of an international organization or a person who is in a similar position in this organization |

9.1.2. I am a family member or a person closely associated to a politically exposed person

Name, surname of politically exposed person

9.1.2.1. The country where politically exposed person is currently/were formerly holding the position

9.1.2.2. Occupied position (please tick):

- | | | |
|---|---|--|
| <input type="checkbox"/> Head of State | <input type="checkbox"/> Other high level official in government or state administrative unit (municipality) | <input type="checkbox"/> Ambassador or chargés d'affaires |
| <input type="checkbox"/> Head of state administrative unit (municipality) | <input type="checkbox"/> Member of parliament or member of other similar legislative bodies | <input type="checkbox"/> Member of Central bank's council or board |
| <input type="checkbox"/> Head of government | <input type="checkbox"/> Member of governing bodies (board) of a political party | <input type="checkbox"/> Chief officer in the armed for armed forces |
| <input type="checkbox"/> Minister , deputy of minister or deputy of minister's deputy | <input type="checkbox"/> Judge of constitutional court, higher court or judge in a different level court (member of court institutions) | <input type="checkbox"/> Member of management or supervisory bodies of State –owned enterprises |
| <input type="checkbox"/> State secretary | <input type="checkbox"/> Member of highest revision (audit) institution council or board | <input type="checkbox"/> Director, deputy director and member of the board or equivalent function of an international organization or a person who is in a similar position in this organization |

10. Accounts in other banks

10.1. No 10.2. Yes (specify banks and countries, in which the accounts are opened)

	Banks	Countries
1.		
2.		
3.		
4.		

11. Please state if any transaction carried out with the assets from the accounts will be performed in favor of or on behalf of other person?

11.1. No, I am the actual owner of the funds in the accounts.

11.2. Yes (fill in Statement about actual beneficiary).

12. Planned incoming payment (EUR)

12.1. Total volume of monthly incoming payments	
12.2. Monthly quantity of payments <i>(to be filled in, if volume of incoming payments, stated in 12.1. is less than 5 000 EUR)</i>	

Point 13 is to be filled in if: total volume of monthly incoming payments is more than 5000 EUR; if point 4.2.4.1 - 4.2.4.9. is chosen; or by a request of the Bank's officer.

13. Planned turnover in the accounts in the Bank

13.1. Incoming payments

13.1.1. Purpose of payments:

- | | | |
|--|--|---|
| 13.1.1.1. <input type="checkbox"/> salary | 13.1.1.2. <input type="checkbox"/> income from the personal property | 13.1.1.3. <input type="checkbox"/> dividends / interest |
| 13.1.1.4. <input type="checkbox"/> loan (credit) | 13.1.1.5. <input type="checkbox"/> inheritance | 13.1.1.6. <input type="checkbox"/> gift |
| 13.1.1.7. <input type="checkbox"/> other (specify) | | |

13.1.2. Specify countries from which payments will be made

13.2. Outgoing payments

13.2.1. Purpose and regularity of payments:

- 13.2.1.1. household expenses time (-s) per month time (-s) per year
13.2.1.2. loan repayment time (-s) per month time (-s) per year
13.2.1.3. granting loan time (-s) per month time (-s) per year
13.2.1.4. term deposits time (-s) per month time (-s) per year
13.2.1.5. other (specify) time (-s) per month time (-s) per year

13.2.2. Specify countries from which payments will be made.....

13.3. Monthly transactions on accounts (EUR)

Transaction type	Quantity	Average turnover
13.3.1. Incoming transfer		
13.3.2. Cash deposit		
13.3.3. Outgoing payments		
13.3.4. Cash withdrawal		
13.3.5. Payments with a payment card for goods or services		
13.3.6. Cash withdrawal using a payment card		

13.4. Planned maximum amount of one transaction (EUR)

Transaction type	Amount
13.4.1. Non-cash transaction, incl. using a payment card	
13.4.2. Cash transaction	

Client's signature and declaration

I hereby certify that the information provided in the questionnaire is complete and true and I am aware I will be held liable according to applicable laws for providing false or misleading information.

I undertake to inform the Bank immediately about changes in the information provided in the questionnaire.

I am informed that personal data processing by the AS Citadele banka is carried out according to Privacy Protection Rules of AS Citadele banka Eesti filiaal. The Privacy Protection Rules are available on our webpage Terms and Conditions section.

I am informed that AS "Citadele banka" Estonian branch processes my personal data for the purposes of anti-money laundering, counter terrorist and proliferation financing and sanction risk management in order to comply with regulatory requirements, providing that my rights are exercised in accordance with the Privacy Protection Rules available at www.citadele.ee.

Questionnaire is filled by:

- Client Client's authorized person

I hereby certify that I am authorized on behalf of the Client to provide the information and self-certifications mentioned in the questionnaire, and the information in my possession is sufficient to provide this information and self-certifications.

.....
Name, surname

.....
Signature

Date

I the Bank's employee (Bank's authorized person) has accepted the Questionnaire.
(Name, surname, stamp)

Date Signature